SP00-268

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant:

Max Stellmacher

Examiner: TBA

Serial No:

Group Art Unit: TBA

Filed:

For:

COMPENSATION OF THE REFRACTIVE

INDEX OF DOPED INP

INFORMATION DISCLOSURE STATEMENT UNDER 37 C.F.R. §§ 1.56, 1.97 - 1.98

Asst. Commissioner of Patents and Trademarks Washington, DC 20231

Dear Sir:

The Examiner's attention is hereby directed to the following reference(s) listed on the attached Form PTO-1449 for consideration in connection with the examination of the above-identified patent application. One copy of the reference(s) is enclosed.

This submission does not represent that a search has been made or that no better art exists and does not constitute an admission that each or all of the enclosed documents constitute "prior art." If it should be determined that any of the submitted documents do not constitute "prior art" under United States law, applicant(s) reserve the right to present to the office the relevant facts and law regarding the appropriate status of such documents.

Applicant(s) further reserve the right to take appropriate action to establish the patentability of the disclosed invention over the enclosed references, should one or more of the references be applied against the claims of the present application.

Respectfully submitted.

Julian Agon

Registration No. 33.468 Corning Incorporated

SP-TI-03-1

Corning, NY 14831 (607) 974-6574

Date: June 21, 2001

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to Asst. Commissioner of Patents and Trademarks. Washington, D.C. 20231

Tune 21, 2001

Date of Deposit

Juliana Agon

Name of applicant, assignee, or

Registered, Representative

Signature

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Date of Signature

Revision: March 7, 2000

Document5

FORM PTO-1449 (MODIFIED)

LIST OF PATENTS AND PUBLICATIONS FOR APPLICANTS INFORMATION DISCLOSURE STATEMENT

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SP00-268

SERIAL NO.

09/886873

APPLICANT Stellmacher et al.

FILING DATE

GROUP: TBA

REFERENCE DESIGNATION

U.S. PATENT DOCUMENTS

KLILKI	NCLD	ESIGNATION	0.	3. PATENT DOCUMEN	113		
Examiner Initial		Document Number	Date	Name	Class	Sub- Class	Filing Date if Approp.
	AA	5.351.323	9/27/94	Miller. et al	385	28	
	AB	6.025.207	2/15/00	Mersali. et al	438	29	
	AC	5.703.895	12/30/97	Ghirardi, et al	372	50	
	AD	6.072.812	6/6/00	Eng	372	20	
	AE	4.688.062	8/18/87	Liles	357	22	
	AF	4.745.448	5/17/88	Van Rees, et al	357	22	
	AG	4.340.966	7/20/82	Akiba, et al	375	45	
	AH						
	AI						
	AJ						
	AK						

FOREIGN PATENT DOCUMENTS

	Document Number	Date	Country.	Class	Sub- Class	Trans Yes	lation No
AL							
AM							
AN		-					
AO							
AP							
AQ							

OTHER ART (Including Author. Title. Date. Pertinent Pages. etc.)

 AR	Efficient fiber coupling to low-loss diluted multiple quantum well optical waveguides -
	Deri, et al. Appl. Phys. Lett. 55 (15) 10/9/89 1495-1497
 AS	Analysis. design and fabrication of tapered integrated optical structuresOptics Research
	Group – Daoping Li PhDTheses
 AT	1.3 µm Polarization Insensitive Amplifier with Integrated Mode Transformer -
	Tishinin, et al Department of Electrical Engineering/Electrophysics www-scf.edu.
 AU	Photonic Application Specified Integrated Circuits (PHASICs) for Photonic Networks -
	Hamacher, et al. Letzte Anderung: 9/98

AV	Optoelectronics Packaging: An Enabling Technology – M. Dagenais www.ieee.org/organiations/pubs/newsletters/leos/apr97/html/feature.htm
AW	Alignment Tolerant Lasers and Silicon Waferboard Integration – Dagenais, et al. Dept. of EE and Laboratory for Physical Sciences U. of MD, Collage Park, MD
AX	Carrier-induced change due to doping in refactive index of InP: Measurements at 1.3 and 1.5µm – L. Chusseau. et al – Appl. Phys. Lett. 69 (20) 11/11/96
AY	INGaAsP/InP tapered active layer multiquantum well laser with 1.8dB coupling loss to cleaved singledmode fibre Lealman et al – Electronics Letters 9/29/94 Vol. 30 No. 20 pages 1685-1687
AZ	Low-loss beamwidth transformers on InP with reduced requirements on lithographic resolution R. Zengerle J. Vac. Sci. Technol. B. Vol. 11. No. 6. Nov/Dec 93 page 2641 - 2644
BA	Low-Loss Fibre-Chip Coupling by Buried Laterally Tapered Inp/InGaAsp Waveguide Structure – R. Zengerle, et al Electronics Letters 3/26/92 Vol. 28 No. 7
EXAMPLED	DATE CONCIDEDED.

EXAMINER: DATE CONSIDERED:

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609: draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.